

# Jazzy Jay Jefferson

**CONFIDENTIAL Individual profile prepared for  
Juliana University**

Prepared by:



## Capacity Rating \$1 million - \$2 million

This rating is a major gift dollar range for a gift over 5 years if only one gift was made. It is strictly based on wealth indicators and not on affinity or inclination. The capacity rating suggests ability to give without considering unknown liabilities and is NOT a solicitation amount.

## Wealth Stats

Largest Gift:	\$250,000
Real Estate:	\$2.4 million
Income Estimation:	>\$250,000
Stockholdings:	\$4.2 million
Other Assets:	No value assigned



## Summary

Jazzy Jay Jefferson and his wife, Randi, live in five-bedroom condo in Miami Beach. They have two grown children, Jonathan and Julia, who have both joined Mr. Jefferson's company, Jazzy Jay Jefferson Advisors, Inc.

Mr. Jefferson served in the U.S. Army for three years after graduating with a B.S. in marketing from Juliana University in 1963. He worked in the financial field until he founded his company, Jazzy Jay Jefferson Advisors, Inc., in 1978. The company's services include account management, research and consulting, and public closed-end fund management through The Jefferson Central Europe Fund (NASDAQ: CEURO). He is in the process of registering another fund, The Czech Fund, Inc. Jazzy Jay Jefferson & Co. is the broker-dealer to the firm.

Mr. Jefferson is frequently referred to in articles and appears on radio and television as a guest expert. He has written five books and his company publishes a monthly subscription newsletter. He is paid fixed quarterly compensation by his company and receives no compensation from the Jefferson Central Europe Fund. His company is small, and currently operates one small fund. However, the changing political climate in central Europe may provide significant opportunities for his current and pending funds.

Mr. Jefferson is active in the community. He is a director of the Financial Literacy Foundation and advisory board member of the Miami University School of Business Administration. He and his wife have been loyal donors to their children's alma mater, Johns Hopkins University. Mr. Jefferson is chair of the Jefferson Family Foundation, founded by his parents. In calendar year 2006, the foundation had assets of \$5.3 million and total giving of \$747,000. The University of Florida has a Jazzy Jay Jefferson School of Business and the University of Miami, School of Business has a Jazzy Jay Jefferson Scholarship Fund.

Mr. and Mrs. Jefferson own three properties in Florida with a combined estimated market value of \$2.4 million. Mr. Jefferson's stockholdings, if still held, would be worth \$4.2 million. Mr. Jefferson was also mentioned in a Business Week blurb in 1998 as owning a corvette and two small boats. His income is unknown, but is likely to be greater than \$250,000.

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Based on assets, occupation, and giving history, Mr. and Mrs. Jefferson have been assigned a capacity rating of \$1 to \$2 million.

## Relationship with Juliana University

Mr. Jefferson made two gifts from 1990 to 2005, totaling \$2,000. He also hosted an alumni event at his Miami penthouse in March of 1999. The Jefferson Family Foundation made two gifts from 1991 to 1992, totaling \$254,000.

## Biographical

Full name	Jazzy Jay Jefferson
Home address	15 Flowering Lane, Unit #4001, Miami Beach, FL 33139
Home phone	305.222.3333
Date of birth	6/10/1942
Political affiliation	Not found
Religious affiliation	Not found
Parents	Jackson J. Jefferson and Jill (Johnson) Jefferson
Siblings	Not found
Spouse	Janet Jefferson (married in 1969)
Children	<p>Jonathan Jefferson</p> <ul style="list-style-type: none"><li>• Fluent in Japanese</li><li>• B.A. in Economics and Mathematics from Johns Hopkins University (1997) and an M.B.A. from the University of Pennsylvania, Wharton School of Business (1999)</li><li>• Joined Jazzy Jay Jefferson Advisors Inc. as Head of Strategies in April, 2005 at the age of 30 years</li><li>• Previously a currency-derivatives trader for Goldman Sachs in Singapore and Sydney, Australia</li></ul> <p>Julia Jefferson</p> <ul style="list-style-type: none"><li>• Julia Jefferson is a member of the Asian research team for Jazzy Jay Jefferson Advisors. She spent two years on the Japanese equity desk at J.P. Morgan and is a graduate of the Wharton Executive Program at Singapore Management University.</li><li>• She received a B.S. from Johns Hopkins University and an M.A. in East Asian Studies, Stanford University (2003)</li><li>• She is a graduate of Growing Giggles Hall (1994), a boarding school in Hartford, CT; made an annual fund gift in 2005</li></ul>
Interests/Hobbies	Not found
Education	<ul style="list-style-type: none"><li>• B.S. in Marketing, Juliana University, 1963</li><li>• NASD Series 7 and 24 certifications</li></ul>
Honors/Awards	<ul style="list-style-type: none"><li>• Golden Key Award, the United Way of Southern Florida, 1995</li><li>• United Way of Miami Community Service Award, 2000</li></ul>

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## Community Involvement

### Organization Affiliations

<i>Organization</i>	<i>Position</i>	<i>Service</i>
Financial Literacy Foundation	Director	Present
Miami University School of Business Administration	Advisory Board Member	1996-Present
Miami Youth Council	Chair of the Board of Directors	Past
United Way of Southern Florida	Campaign Chair	1997

### Foundations

#### Jefferson Family Foundation

1700 Broadway, Suite 1100, Miami Beach FL

- The Foundation was incorporated in 1948 in Florida with donations from Mr. Jefferson's parents, Jackson and Jill Jefferson. Jackson Jefferson, a former oil executive, served as a director of United Banks of Florida and was vice-president of the foundation until 1976. Jill Jefferson was president of the foundation until her death in 1978. An active philanthropist, she received several awards for her work with Florida's juvenile courts. (Source: The Foundation Center Online)

#### Officers and Directors

- Jazzy Jay Jefferson, Chair; Julia Jefferson, Vice-Chair and Treasurer; Jonathon Jefferson, President; Bryan Smucker, V.P. and Program Officer

Year ended 12/31/2006:

Total Assets	\$5.3 million
Total Giving	\$747,000
Largest Gift	\$250,000 to Johns Hopkins University

#### Grants made (year ended 2006)

<b>Organization</b>	<b>State</b>	<b>Amount</b>
Johns Hopkins University	MD	\$250,000
University of Florida	FL	\$200,000
Financial Literacy Foundation	FL	\$150,000
Miami University School of Business Administration	FL	\$100,000
United Way of Southern Florida	FL	\$40,000
Miami Youth Council	FL	\$5,000
Feed the Hungry	FL	\$1,000
Affordable Housing Project	FL	\$1,000

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## Philanthropic Giving History

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*Note: Giving history reflects only those gifts made public by the nonprofit organization.*

- The University of Florida has a Jazzy Jay Jefferson School of Business
- The University of Miami, School of Business has a Jazzy Jay Jefferson Scholarship Fund

Giving Range	Year	Gift Type	Recipient	Contributor
Unknown	2007	Annual	Johns Hopkins University	Jazzy J. Jefferson
\$5,000- \$9,999	2006	Annual	Financial Literacy Foundation	Jazzy J. Jefferson
Unknown	2006	Cumulative Giving	Johns Hopkins University	Mr. & Mrs. Jazzy J. Jefferson
Unknown	2005	Cumulative Giving	Johns Hopkins University	Mr. & Mrs. Jazzy J. Jefferson
Unknown	2005	Memorial	Miami Youth Council	Mr. & Mrs. Jazzy J. Jefferson
\$500-\$999	2003	Annual	Miami University	Mr. & Mrs. Jazzy J. Jefferson

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## Political Contributions

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Jazzy Jay		Janet	
Amount	Year	Amount	Year
\$1,700	2006	Not found	
\$2,500	2004		
\$2,000	2003		
\$3,000	1994-2002		

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## Occupational History

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### Current Employment

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*Founder, Chairman and President, Jazzy Jay Jefferson Advisors, Inc. (1978-present)*

PO Box 23456, Miami, FL 33116, Phone: 305-222-3333, Fax: 305-333-2222,

[www.jefferson.com](http://www.jefferson.com)

- “Jazzy Jay Jefferson Advisors, Inc. is an investment advisory firm specializing in the field of closed-end funds. The firm and its affiliated stock brokerage firm offer clients services related to account management and research.” (Source: [www.jefferson.com](http://www.jefferson.com))
- “The total value of our five Managed Portfolio programs... finished the quarter just off a record high at \$4,123,456, representing a gain of 1.2% for the quarter.” (Source: The Investor’s Guide to Closed-end Funds, May 2004)
- According to fund documents, Mr. Jefferson receives fixed quarterly compensation from the company, which is likely to be greater than \$250,000.

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*President & Chairman, Jazzy Jay Jefferson & Co.*

The Herzfeld Building, P.O. Box 161465, Miami FL 33116

- Broker-dealer for the Herzfeld funds.

*Founder, Chairman and President, The Jefferson Central Europe Fund (NASDAQ: CEURO)*

P.O. Box 123456, Miami, FL 33116, Phone: (305) 222-3000

- Net assets as of 6/30/2007 were \$20.5 million.
- The Jefferson Central Europe Fund's investment objective is long-term capital appreciation. To achieve its objective, the Fund invests in issuers that are likely, in the Advisor's view, to benefit from economic, political, structural and technological developments in the countries in Central Europe. (Source: the Fund's Annual Report, 06-30-2007)
- The Fund was incorporated in 1990. According to documents filed with the Securities and Exchange Commission, Mr. Jefferson has been a director of the Fund since 1992 and receives \$0 compensation from the Fund.

*The Czech Fund, Inc.*

The Jefferson Building, P.O. Box 123456, Miami FL 33116

- As of fiscal year ending 06-30-2007, the fund had not commenced business.
- "The Czech Fund, Inc. is a non-diversified, closed-end management investment company. The Fund's investment objective is to seek maximum total return by investing in securities of issuers and companies that are likely, in the opinion of the Adviser, to benefit from political, legal and economic developments in the Czech Republic. The Fund's investment adviser is Jefferson/Czech, a division of Jazzy Jay Jefferson Advisors, Inc." (Source: 01-12-07 SEC registration statement)

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## Past Employment

<i>Dates</i>	<i>Position and Company</i>
1976-1978	Executive Vice President & Director, Habib Rosen & Co.
1964-1976	Vice President, Sartorius & Co.
1962-1963	Officer, United States Army

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## Occupational Affiliations

<i>Company</i>	<i>Position</i>	<i>Service</i>
AMC Bank of Chicago	Director	Present
Association of Closed-End Funds	President	Present

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## Publications

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- *The Investor's Guide to Central Europe*, a monthly subscription publication of Jazzy Jay Jefferson Advisors, Inc.
- Jefferson, Jazzy J. *High-return, low-risk investment: Combining market timing and stock selection*. Mistletoe Publishing, 1980
- Jefferson, Jazzy J. (author) and Gidget, Galia L. (editor) *The Jazzy Jay Jefferson 1996/1997 Encyclopedia of Central European Funds*. Jazzy Jay Jefferson Advisors, Incorporated, 1998
- Jefferson, Jazzy J., Dewey, Robert F. *High-Return, Low-Risk Investment: Using Stock Selection and Market Timing*. McGraw-Hill, 1994
- Jefferson, Jazzy J. *Jefferson's Guide to Central European Funds*. McGraw-Hill, 1993
- Jefferson, Jazzy J. *Investor's Guide to Central Europe Funds*. McGraw-Hill Education, 1977

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## Assets

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### Stock Ownership

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Note: Prospects usually appear in SEC filings under two circumstances: when they own 5% or more of a company's stock and/or they serve as a Director or officer of the company. A prospect may have a much larger portfolio of stock holdings even though s/he doesn't meet the 5% or director status criteria to be reported in public SEC filings.

Company	Ownership	Shares (as of)	Value (as of 10/16/07)
AMC Bank of Chicago (NYSE:AMC)	Direct	60,580 (12/1/06)	\$4,004,338
The Jefferson Central Europe Fund (NASDAQ: CEURO)	Direct	17,124 (9/19/07)	\$191,446
Total			\$4,195,784

Mr. Jefferson sold 6,345 shares in November and 5,654 shares in December of 2006 of AMC Bank of Chicago, for which he received \$558,588.

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### Current Real Estate Holdings

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#### **15 FLOWERING LANE 4001, MIAMI BEACH FL 33139**

- 5-bedroom, 5-bath, Dade County Condo in Miami Beach
- Purchased by Jazzy Jay and Janet Jefferson on 05-24-1996 for \$1,595,000 in cash
- Estimated market value based on 2007 comparables is **\$3.7 million**

#### **12345 SW 97TH LN, MIAMI FL 33176**

- Dade County single-family residence (5,000 sq ft living space)
- Owned by Jazzy Jay and Janet Jefferson
- 15-year mortgage of \$40,000 recorded on 10-21-1992
- Estimated market value based on 2007 comparables is **\$1.1 million**

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## 54321 SW 95TH AVE, MIAMI FL 33176

- Dade county single-family residence (4,950 sq ft living space)
- Purchased by Jazzy Jay and Janet Jefferson in 1986 for \$265,000
- Estimated market value based on 2007 comparables is **\$900,000**

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## Past Real Estate Holdings

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None found.

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## Other Wealth Indicators (Other property such as boats and planes, collections, etc.)

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According to Business Week Online (03-10-1999), Mr. Jefferson owns:

- A bright green 1976 Corvette
- Two boats: the 18-foot *Lumpy* and the 40-foot *Planet Janet*

## Quotes

Shannon, Joyce "When it comes to chess, Jennings' king of the castle" *Miami Jewish Times*, 5-22-2006, retrieved from [www.highbeam.com](http://www.highbeam.com) on 10/15/2007

Janet Jefferson, president of Activities School Partnership, said she is happy to be able to contribute time and money so that schools can have competitive chess programs. "Helping those in need is very much a Jewish value," said Jefferson, who played chess herself as a child.

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